

Planning, Environment and Economy Portfolio Risk Register

Version 09

Published: 26.02.2021

Risk Register - Part 1 (Portfolio Management)

Finance

Key		
Underlying Risk Rating	The risk rating before any mitigating actions	
Current Risk Rating	This risk rating following the planned mitigation actions	
Target Risk Rating	The risk rating which is realistically achievable and by when	
Risk Trend	The trend of the risk since the last review date	
Risk Status	Open denotes a live risk	Closed denotes a closed risk
*Denotes the risk is specific to the 'Recovery'		
PE	Planning, Environment and Economy	

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
*PE01 Updated	Loss of Community Benefits by not maximising the inclusion of social value in Contracts Note: target risk rating has been moved to Q1 2021/22 due to capacity of the Social Value Officer	Strategic	Niall Waller	Olivia Hughes	A	Y	G Q1 2021/22	NC ↔	Open
*PE02	Failure to meet income targets for Portfolio due to downturn in submission of planning applications, building regulations applications, Highways DC applications and licensing applications	Operational	Andrew Farrow	Mandy Lewis/Sian Jones	R	A	Y Q4 2021/22	NC ↔	Open
*PE03	Unable to regenerate Town Centres through implementation of the Town Centre Strategy due to insufficient resources and the compound economic consequences of the emergency situation	Strategic	Niall Waller	Rachael Byrne	R	R	A Q4 2021/22	NC ↔	Open
*PE04	Failure to prepare and deliver Growth Deal projects due to potential realigning of capital funding	Strategic	Niall Waller	Rachael Byrne	A	Y	G Q4 2021/22	NC ↔	Open
*PE05 Updated	Failure to meet income targets for Minerals and Waste Shared Service due to downturn in submission of planning applications and reduced compliance visits during lockdown and a subsequent potential economic downturn Note: current risk rating has reduced to amber as the service received monies from the Welsh Government Covid Fund to compensate for loss of income	Operational	Gary Nancarrow	Robin Williams	R	A	Y Q4 2021/22	G ↓	Open
*PE06	Decreasing availability of funding to deliver fuel poverty projects due to re-prioritisation of funding streams	Operational	Niall Waller	Joseph Muxlow	R	A	G Q4 2021/22	NC ↔	Open
PE23 New	Financial risks arising from programme for private sector homes improvements	Operational	Niall Waller	Joseph Muxlow	Y	G	G Q4 2021/22	New	Open

Workforce

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
*PE07 Updated	Impact on service delivery due to the resilience of staff and succession planning Note : target risk rating has been moved to Q2 2021/22 due to current lockdown restrictions	Operational	Andrew Farrow	PEESMT	R	R	A Q2 2021/22	NC ↔	Open

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
PE08 Updated	Increased incidences of stress and mental health episodes due to the long-term implications of working from home and anxiety over the Covid-19 pandemic Note: risk to be closed as analysis of absences shows that there has been no increase in incidences due to stress and anxiety.	Operational	Andrew Farrow	PEESMT	B	G	G Q3 2020/21	G ↓	Closed
*PE09 Updated	Reluctance of staff to return to their work base and “normal” working patterns on lifting of lockdown restrictions due to anxiety levels linked to increased exposure and personal circumstances Note: target risk rating has been moved to Q2 2021/22 to reflect the current lockdown restrictions that will delay officers returning to their work base	Operational	Andrew Farrow	PEESMT	R	A	G Q2 2021/22	NC ↔	Open
PE22 New	Increased anxiety among officers required to attend their work base due to non-compliance with risk assessment and increasing numbers of officers testing positive for coronavirus	Operational	Andrew Farrow	PEESMT	R	A	G Q2 2021/22	New	Open

Property & Assets

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
PE10 Updated	Energy Saving initiatives not included in Capital Projects due to non-involvement of Energy Team with Property and Assets team on design of projects which may result in those projects accruing higher long-term costs Note: analysis has shown that energy team are still not being included at early planning stages of projects and design of projects are not supporting the longer term ambition of carbon reduction through sustainable schemes and reduced energy costs. Target risk rating has been revised to yellow in Q4 2020/21 to reflect further work needed to reduce the risk.	Strategic	Andy Roberts	Energy Team	R	A	Y Q4 2020/21	NC ↔	Open

External Regulation

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
PE11	Non-compliance with Welsh Government Carbon Reduction Target due to external factors such as willingness of the wider public to support initiatives, access to funding to develop projects and the delayed appointment of project manager	Strategic	Andrew Farrow	(Project manager on appointment)	R	A	Y Q4 2021/22	NC ↔	Open
PE12	The implications of Ash Die back on finances and reputation of the Council due to the scale of the problem and the ability to make safe Highways and Council amenity land	Strategic	Tom Woodall	John Purchase	B	R	A Q3 2021/22	NC ↔	Open
*PE14 Updated	Non-compliance with Sustainable Urban Drainage System legislation due to capacity of the team Note: target risk rating has been moved to Q1 2021/22 to reflect the timetable for service review and recruitment	Operational	Andy Roberts	Ruairi Barry	R	A	A Q1 2021/22	NC ↔	Open

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
PE15 Updated	Decrease in Food Safety and Food Standards following exit from the European Union Note: risk to be closed as the exit from the European Union has not impacted on Food Safety and Standards. A new risk will be considered when the service understands the impacts of any new UK wide legislation that could impact in this area.	Operational	Sian Jones	Helen O'Loughlin	B	G	G Q4 2021/22	NC ↔	Closed
*PE16	Capacity within the Community and Business Protection teams to resume planned and reactionary programmes of inspections whilst also enforcing national and local Covid-19 restrictions, Covid-19 regulatory activity and supporting the TTP work.	Operational	Sian Jones	Helen O'Loughlin/Jenny Prendergast	R	R	Y Q4 2021/22	NC ↔	Open
PE17	Non-compliance with Welsh Language Standards due to the Welsh Language Commissioner's instruction to Flintshire County Council for translation of planning applications and consultation responses	Operational	Lynne Fensome	Sue Davies	R	A	Y Q4 2021/22	NC ↔	Open

ICT & Systems

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
*PE21	Integration and assimilation to new case management system is not achieved	Project	Lynne Fensome	Sue Davies	R	A	G Q4 2021/22	NC ↔	Open

Risk Register - Part 2 (Portfolio Service & Performance)

Enterprise and Regeneration

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
*PE19 Updated	Unsustainable demand on the Employment Mentoring service due to the economic implications of lockdown and the increase in referrals from Job Centres of those seeking employment Note: target risk rating has been moved to Q1 2021/22 as referrals will continue to increase during current lockdown and the end of the furlough scheme	Operational	Niall Waller	Sharon Jones	R	R	A Q1 2021/22	NC ↔	Open

Development Management, Public Protection and Minerals and Waste

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
*PE20 Updated	Increase in non-compliant sites due to inability to conduct enforcement and site monitoring inspections Note: target risk rating moved to Q2 2021/22. Risk trend increasing and current risk rating increasing to amber to reflect the decrease in site visits during current lockdown	Operational	Andrew Farrow	Mandy Lewis/Gary Nancarrow/Sian Jones/Andy Roberts/Tom Woodall	R	A	G Q2 2021/22	R ↑	Open

Closed Risks

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
*PE18	Procurement, integration and assimilation to new case management system is not achieved due to market forces and compliance with Flintshire County Council terms of contract	Project	Lynne Fensome	Sue Davies	R	G	G O4 2021/22	G ↓	Closed
*PE13	Welsh Government call in the Local Development Plan due to our inability to meet the delivery agreement timetable	Strategic	Andy Roberts	Adrian Walters	B	G	G Q3 2020/21	NC ↔	Closed

Risk Matrix

Impact Severity	Catastrophic	Y	A	R	R	B	B
	Critical	Y	A	A	R	R	R
	Significant	G	G	Y	A	A	R
	Marginal	G	G	G	Y	Y	A
		Unlikely	Very Low	Low	High	Very High	Extremely High
Likelihood of risk happening							